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19036/37126

PATENT

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

YUTAKA MINEO

Serial No.: 09/786,565

Filed: March 7, 2001

For: LIGHT DIFFUSING SHEET AND  
BACKLIGHT UNIT USING THIS

BASED ON: PCT/JP99/04976

Filed: September 10, 1999 and based  
on HEI 10-262631 filed  
September 17, 1998

Group Art Unit: To Be Determined

Examiner: To Be Determined

## CERTIFICATE OF MAILING

I hereby certify that this paper  
is being deposited with the  
United States Postal Service  
with sufficient postage as first  
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addressed to: Commissioner  
for Patents, Washington, D.C.  
20231 on the date below:

April 11, 2001  
Date

Nate F. Scarpelli  
Nate F. Scarpelli

Registration No. 22,320

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
BOX PCT  
Washington, D.C. 20231

Sir:

The patents and/or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 CFR §§ 1.56, 1.97, and 1.98. Copies of the patents or publications are enclosed as necessary.

## TIME OF FILING

This information disclosure statement is being filed:

- ☒ 1. with the application or within three months of the filing date of the application or date of entry into the national stage of an international application or, to the best of the undersigned's knowledge, before the mailing date of a first Office Action on the merits, whichever event occurs last. In accordance with 37 CFR §1.97(b), no certification or fee is required.

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- ☐ 2. after the time period specified in paragraph 1 above, but, to the best of the undersigned's knowledge, before the mailing date of a final action under 37 CFR § 1.113 or notice of allowance under 37 CFR § 1.311. Therefore, in accordance with 37 CFR § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a certification as specified in 37 CFR § 1.97(e).  
B. ☐ the fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under 37 CFR § 1.97(c).

- ☐ 3. after the mailing date of either a final action under 37 CFR § 1.113 or a notice of allowance under 37 CFR § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant submits herewith:

- A. a certification as specified in 37 CFR § 1.97(e);  
B. the petition fee set forth in 37 CFR § 1.17(i); and  
C. the accompanying petition under 37 CFR § 1.97(d).

#### CERTIFICATION

*(only used if No. 2 or No. 3 above is checked)*

The person(s) signing below certify

(check appropriate paragraph)

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(1).

OR

- ☐ that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this statement. 37 CFR § 1.97(e)(2).

**PETITION**

*(only used if No. 3 above is checked)*

☐ Applicant(s) hereby petition(s) pursuant to 37 C.F.R. § 1.97(d) that this Information Disclosure Statement, Form PTO-1449, and document(s) identified therein be considered.

**METHOD OF PAYMENT**

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$0.00.

The Commissioner is authorized to charge any fee deficiency required by this paper, or credit any overpayment, to Deposit Account No. 13-2855. A copy of this paper is enclosed.

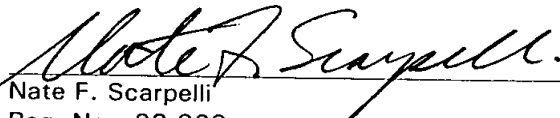
**STATEMENT**

The enclosed cited references were identified in the enclosed International Search Report in the counterpart PCT application

Respectfully submitted,

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By:

  
Nate F. Scarpelli  
Reg. No: 22,320

April 11, 2001